

Annexure - XI to the Listing Agreement

Format of Quarterly Compliance Report on Corporate Governance

Name of the Company : Rajputana Investment & Finance Limited

Quarter Ending on : September 30, 2015

Sl. No.	Particulars	Clause of Listing Agreement	Compliance Status Yes/No/N.A	Remarks
II.	Board of Directors	49 (II)		
A.	Composition of Board	49 (IIA)	Yes	
B.	Independent Directors	49 (IIB)	Yes	
C.	Non-executive Directors' compensation &	49 (IIC)	Yes	
D.	Other provisions as to Board and Committees	49 (IID)	Yes	
E.	Code of Conduct	49 (IIE)	Yes	
F.	Whistle Blower Policy	49 (IIF)	Yes	
III.	Audit Committee	49 (III)		
A.	Qualified & Independent Audit Committee	49 (IIIA)	Yes	The Company has Qualified and Independent Audit Committee.
B.	Meeting of Audit Committee	49 (IIIB)	Yes	The Audit Committee meeting was duly held.
C.	Powers of Audit Committee	49 (IIIC)	Yes	
D.	Role of Audit Committee	49 (IIID)	Yes	
E.	Review of Information by Audit Committee	49 (IIIE)	Yes	
IV.	Nomination and Remuneration Committee	49 (IV)	Yes	
V.	Subsidiary Companies	49 (V)	Yes	
VI.	Risk Management	49 (VI)	Yes	
VII.	Related Party Transactions	49 (VII)	No	
VIII.	Disclosures	49 (VIII)		
A.	Related party transactions	49 (VIII A)	No	
B.	Disclosure of Accounting Treatment	49 (VIII B)	Yes	The same shall be duly disclosed whenever the treatment is different from the applicable Accounting Standard.
C.	Remuneration of Directors	49 (VIII C)	Yes	The same shall be disclosed in the Annual Report.
D.	Management	49 (VIII D)	Yes	The same shall be the part of the Annual Report.

Rajputana Investment and Finance Ltd

Reg. Address: 18, Rabindra Sarani, Poddar Court, 5th Floor, Gate No. 1, Room No. 502, Kolkata-700011

CIN: L65929WB1941PLC010731 | **Email Id:** rajputanainvestment@gmail.com

| **Website:** www.rajputanainvestment.com | **P:** 033-32627550



E.	Shareholders	49 (VIII E)	Yes	The same shall be the part of the Annual Report.
F.	Proceeds from public issues, rights issue, preferential issues etc.	49 (VIII F)	No	No money has been raised through public issues etc. during the quarter end.
IX.	CEO/CFO Certification	49 (IX)	Yes	The same be complied with and to form a part of the Annual Report.
X.	Report on Corporate Governance	49 (X)	Yes	The same be shall complied with and to form a part of the Annual Report.
XI.	Compliance	49 (XI)	Yes	The same be shall complied with and to form a part of the Annual Report.

For **Rajputana Investment & Finance Limited**

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Neha Yadav

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(Company Secretary) **Company Secretary**

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